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Applicant or Patentee: John B. Harley, et al.

Attorney's  
Docket No.:  
OMRF161

Serial or Patent No.: 08/781,296

Filed or Issued: January 13, 1997

For: DIAGNOSTICS AND THERAPY OF EPSTEIN-BARR VIRUS IN  
AUTOIMMUNE DISORDERS

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) and 1.27(d)) - NONPROFIT ORGANIZATION

I hereby declare that I am an official empowered to act on  
behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION: Oklahoma Medical Research  
Foundation

ADDRESS OF ORGANIZATION: 825 N.E. 13th Street  
Oklahoma City, OK 73104

TYPE OF ORGANIZATION

- [ ] UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION
- [ ] TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE  
(26 USC 501(a) and 501(c)(3))
- [x] NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE  
OF STATE OF THE UNITED STATES OF AMERICA
- [ ] WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE  
SERVICE CODE (26 USC 501(a) and 501(c)(3) IF LOCATED  
IN THE UNITED STATES OF AMERICA
- [ ] WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL  
UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA  
IF LOCATED IN THE UNITED STATES OF AMERICA

I hereby declare that the nonprofit organization identified  
above qualifies as a nonprofit organization as defined in 37 CFR  
1.9(e) for purposes of paying reduced fees under section 41(a)  
and (b) of Title 35, United States Code with regard to the  
invention entitled "Diagnostics and Therapy of Epstein-Barr Virus

08/781,296-01139

"Diagnostics and Therapy of Epstein-Barr Virus  
in Autoimmune Disorders"  
Filed: January 13, 1997  
By: John B. Harley, et al.  
VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS

in Autoimmune Disorders" by inventors John B. Harley and Judith

A. James described in

☐ the specification filed herewith

☒ application serial no. 08/781,296, filed January 13, 1997

☐ patent no. \_\_\_\_\_ issued \_\_\_\_\_

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above-identified invention.

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME: \_\_\_\_\_

ADDRESS: \_\_\_\_\_

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORG.

NAME: \_\_\_\_\_

ADDRESS: \_\_\_\_\_

08781296-011397

"Diagnostics and Therapy of Epstein-Barr Virus  
in Autoimmune Disorders"  
Filed: January 13, 1997  
By: John B. Harley, et al.  
VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORG.

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING:

William G. Thurman

TITLE IN ORGANIZATION:

President

ADDRESS OF PERSON SIGNING:

825 N.E. 13th Street

Oklahoma City, OK 73104

William G. Thurman, MD  
SIGNATURE

1/22/97  
DATE

Applicants: John B. Harley and Judith A. James

Application No.: 08/781,296 Filed: January 13, 1997

For: DIAGNOSTICS AND THERAPY OF EPSTEIN-BARR VIRUS IN AUTOIMMUNE DISORDERS

Oklahoma Medical  
Research Foundation  
(Name of Assignee)

a corporation  
(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application identified above for which a copy thereof is attached.

OR:

B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplement sheet.

☒ Copies of assignments or other documents in the chain of title are attached.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and believe are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: 1/22/97

Name: William G. Thurman

Title: President

Signature: William G. Thurman, att



**DECLARATION FOR PATENT APPLICATION**



#3

As the below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below), or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**DIAGNOSTICS AND THERAPY OF EPSTEIN-BARR VIRUS IN AUTOIMMUNE DISORDERS**

the specification of which (check one)

\_\_\_\_\_ is attached hereto

☒ was filed on January 13, 1997  
as application Serial No. 08/781,296

\_\_\_\_\_ and was amended on: \_\_\_\_\_  
(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)			Priority Claimed
_____	_____	_____	_____
(Number)	(Country)	(Day/Month/Year Filed)	
_____	_____	_____	_____
(Number)	(Country)	(Day/Month/Year Filed)	
_____	_____	_____	_____
(Number)	(Country)	(Day/Month/Year Filed)	

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

Provisional Application Number

Filing Date

60/019,053  
(Number)

16 May 1996  
(Day/Month/Year Filed)

\_\_\_\_\_  
(Number)

\_\_\_\_\_  
(Day/Month/Year Filed)

\_\_\_\_\_  
(Number)

\_\_\_\_\_  
(Day/Month/Year Filed)

08

Filed: January 13, 1997

By: John B. Harley and Judith A. James

DECLARATION

Continuation-in-part of

08/160,604

(Application Serial No.)

**November 30, 1993**

**(Filing Date)**

**Pending**

### Status

(patented, pending, abandoned)

(Application Serial No.)

**(Filing Date)**

## Status

(patented, pending, abandoned)

**Patrea L. Pabst**

**31,284**

**Send Correspondence to:**

**Patrea L. Pabst, Esq.**  
**ARNALL GOLDEN & GREGORY**  
**2800 One Atlantic Center**  
**1201 W. Peachtree Street**  
**Atlanta, GA 30309-3450**

**Direct telephone calls to:**

**Patrea L. Pabst (404)873-8794**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor John B. Harley

**Inventor's signature**

Date April 8, 1997

## Residence

439 Northwest 20th Street, Oklahoma City, Oklahoma 73103

## Citizenship

United States

**Post Office Address**

**Same as above**

U.S.S.N. 08/781,296  
Filed: January 13, 1997  
By: Jahn B. Harley and Judith A. James  
DECLARATION

Full name of second inventor Judith A. James

Inventor's signature *Judith A. James* Date 4/8/97

Residence ~~4436 Northwest 36th Street, No. 11, Oklahoma City, Oklahoma 73112~~ 2200 Summer Hollow Ln  
Edmond, OK 73013

Citizenship United States

Post Office Address Same as above

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